

# KENTUCKY REAL ESTATE APPRAISERS BOARD

321 N. Madison Avenue  
Richmond, KY 40475  
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## **DESIGNATION OF COMPLIANCE MANAGER**

An AMC must designate one person as the Compliance Manager. The Compliance Manager shall be a certified real estate appraiser on active status and in good standing in Kentucky or any other state. The Compliance Manager is responsible for ensuring that the AMC operates in Compliance with state law.

**Designation of the Compliance Manager must be made on this form and completed by the proposed Compliance Manger.**

**Name of AMC**

### **Compliance Manager Information**

Full Name:

Mailing Address:

City, State, Zip

Email Address:

Phone:

Fax:

Appraisal Certification: List all states you hold a credential in (if more space is needed, attach separate sheet)

State/License #

State/License #

**Regarding Professional Licenses**

Have you ever had any disciplinary action taken against your appraiser certificate in KY or any other state?  Yes  No

Are there currently any charges pending against you in connection with your appraiser certificate in KY or any other state?  
 Yes  No

*IF ANY OF THESE ANSWERS ARE "YES," PROVIDE A COPY OF THE LICENSING AGENCY ORDER AS WELL AS ANY OTHER DOCUMENTATION REGARDING THE CASE.*

*INCLUDE A COMPLETE WRITTEN EXPLANATION OF EACH CHARGE OR CONVICTION WITH THIS APPLICATION.*

**Regarding Criminal Offenses:**

Have you ever been convicted of a felony?  Yes  No

Within the past 10 years, have you been convicted of a misdemeanor?  Yes  No

Are there currently any criminal charges now pending against you in KY or any other state?  
 Yes  No

IF ANY OF THESE ANSWERS ARE "YES," PROVIDE A COPY OF THE COURT JUDGMENT, ARREST WARRANT, OR BILL OF INDICTMENT. INCLUDE A RELEASE FROM PROBATION OR PAROLE IF APPROPRIATE.

INCLUDE A COMPLETE WRITTEN EXPLANATION OF EACH CHARGE OR CONVICTION WITH THIS APPLICATION.

"CRIMINAL OFFENSES" AND "CRIMINAL CHARGES" INCLUDE ALL CRIMINAL MATTERS EXCEPT SPEEDING OR PARKING VIOLATIONS. IT DOES INCLUDE DRIVING WHILE UNDER THE INFLUENCE OF ALCOHOL OR DRUGS.

IF YOU BELIEVE A CHARGE HAS BEEN ERASED OR EXPUNGED, YOU MUST CHECK WITH THE APPROPRIATE COURT BEFORE COMPLETING THIS SECTION.

**Have you ever been known by any other names?**  Yes  No

Other names \_\_\_\_\_

**All Compliance managers must provide a criminal background check compliant with the requirements of KRS 324A.152**

**Signature of Applicant:** I certify that the information provided in this application is true and correct to the best of my knowledge. I understand that any omission, inaccuracy or failure to make full disclosure constitutes grounds for denial or withdrawal of approval of my designation of Compliance Manager.

Signature: \_\_\_\_\_

Date:

